



PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09992,994
		Filing Date	November 6, 2001
		First Named Inventor	Victor Raso
		Art Unit	Not yet assigned
		Examiner Name	Not yet assigned
Sheet 1	of 2	Attorney Docket Number	BBRI-2005

JUN 16 2003

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U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
C/R	AC	US- 6,140,091	Oct-31-00	Raso et al.	
	AD	US- 6,329,508	Dec-11-01	Friden	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	AL					
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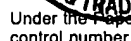
Examiner Signature	<i>C. R. Raso</i>	Date Considered	8/28/03
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO

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Application Number	09992,994
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Filing Date	November 6, 2001
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First Named Inventor	Victor Raso
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Group Art Unit	<u>Not yet assigned</u>
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Examiner Name	Not yet assigned
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Attorney Docket Number	BBRI-2005
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Examiner
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No. 1

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

T2

Shin et al., Proc. Natl. Acad. Sci. USA 92: 2820-2824 (1995).

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Signature

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FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:
BBRI-2005SERIAL NO.:
09/992,994LIST OF ART CITED BY APPLICANT
(Use several sheets if necessary)

APPLICANT: Victor Raso

FILING DATE:
November 6, 2001

GROUP: 1652

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
CAH	AA	5,439,812	8-Aug-95	Benkovic et al.	435	109	
	AB	6,043,069	28-Mar-00	Koenig et al.	435	188.5	
	AC						
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
CAH	AL	WO 99/27944	10-Jun-99	WO	—	—	
	AM	WO 99/06066	11-Feb-99	WO	—	—	
	AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

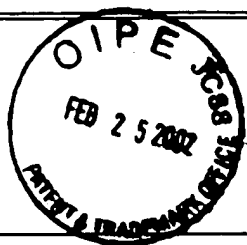
CAH	AR	Hardy, J., Nature Genetics 1: 233-234 (1992).
CAH	AS	Scheuner et al., Nature Med. 2: 864-870 (1996).
CAH	AT	Kowall et al., Proc. Natl. Acad. Sci. USA 88: 7247-7251 (1991).

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C. P. Patton

DATE CONSIDERED

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ATTY. DOCKET NO.:
BBRI-2005SERIAL NO.:
09/992,994

APPLICANT: Victor Raso

FILING DATE:
November 6, 2001

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1652

U.S. PATENT DOCUMENTS

XAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

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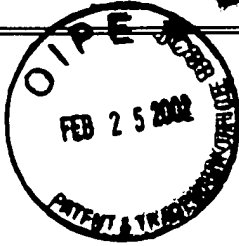
CP	AU	Gravina et al., J. of Biol. Chem. 270: 7013-7016 (1995).
CP	AV	Bickel et al., Proc. Natl. Acad. Sci. USA 90: 2618-2622 (1993).
CP	AW	Broadwell et al., Exp Neurol. 142: 47-65 (1996).
CP	AX	Saito et al., Proc. Natl. Acad. Sci. USA 92: 10227-10231 (1995).
CP	AY	Pardridge et al., Pharmaceutical Res. 12: 807-816 (1995).
CP	AZ	Descamps et al., Am. J. Physiol. 270: H1149-H1158 (1996).
CP	AR2	Duffy and Pardridge, Brain Res. 420: 32-38 (1987).
CP	AS2	Dehouck et al., J. Cell Biol. 138: 877-889 (1997).
CP	AT2	Raso et al., J. Biol. Chem. 272: 27623-27628 (1997).
CP	AU2	Mallander and Voss, J. Biol. Chem. 269: 199-206 (1994).

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APPLICANT: Victor Raso

 FILING DATE:
November 6, 2001

GROUP:

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CPH	AV2	Kang and Pardridge, J. Pharm. Exp. Ther. 269: 344-350 (1994).
CPH	AW2	Recht et al., J. Neurosurg. 72: 941-945 (1990).
CPH	AX2	Solomon et al., Proc. Natl. Acad. Sci. USA 94: 4109-4112 (1997).
	AY2	
	AZ2	
	AR3	
	AS3	
	AT3	
	AU3	
	AV3	

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